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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

DAVID R. DUDEK and PETER SANDIFORD

Serial No. 09/843,106

Filed April 25, 2001

CONTAINER AND PROCESS FOR MONITORING  
AND RECORDAL OF PRODUCT INFORMATION

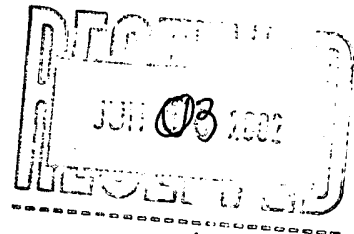
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CLAIM TO PRIORITY

Applicants reaffirm the claim for the benefit of filing date of the following foreign patent application referred to in Applicants' Declaration:

European application Serial No. 00303431.1 filed April 25, 2000

A copy of the application certified by the European Patent Office is enclosed.

Respectfully submitted,

DAVID R. DUDEK and PETER SANDIFORD

By

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Patentanmeldung Nr. Patent application No. Demande de brevet n°

00303431.1

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**Sheet 2 of the certificate**  
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Container and process for monitoring and recordal of product information

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TS 9244

CONTAINER AND PROCESS FOR MONITORING AND  
RECORDAL OF PRODUCT INFORMATION

Field of the Invention

The present invention relates to reusable containers having electronically and/or optically readable identification means and to a process for the monitoring and recordal of product information during filling or refilling the containers.

Background of the Invention

Containers for the purchase, transportation and dispensing of products are well known in the art and are in widespread use.

Such containers are available in a wide variety of shapes and forms. They may be assembled from a wide variety of components, and may be manufactured from a wide variety of materials.

Containers may generally be divided into two distinct classes.

The first class is non-reusable containers, that is to say, where the container is employed as a single use component and is disposed of when emptied of its contents.

The second class is reusable containers, that is to say, where the container is returned to the point of sale or dispense to be refilled once emptied of its contents. This process may be repeated many times, and the container may be refilled with contents different to those previously in the container.

Typically, a reusable container is designed to contain, transport and dispense a product according to the product properties and intended use.

A reusable container typically has features that enable the container to survive the process of return and reuse.

Typically, reusable containers are designed with the  
5 minimal use of materials and components in order to provide a minimal cost.

Although the use of non-reusable containers has some advantages in that it is easy to implement within the constraints of the current distribution and retail  
10 system, the use of non-reusable containers is less environmentally friendly and also makes the inherent cost of this method more expensive, than the refill method described above.

Reusable containers are used, for example, in the  
15 beverage and dairy industries wherein empty containers are returned to the vendor/product manufacturer for subsequent cleaning and refilling of said containers on the production line.

Containers in the art may typically contain bar codes  
20 as a means of identification. Such bar codes provide the retailer with a means of stock control and a method of automatic pricing control, that is to say, the retailer is no longer required to affix individual pricing  
25 stickers to products. However, it will be appreciated that within a certain class of products the bar code identification will be identical and therefore it is not possible to vary the code within that class over time in order that certain products within the class may carry different information to others.

30 The use of reusable containers in an environment wherein the user or consumer directs the refilling process has a number of inherent problems. For example, the user or consumer may attempt to refill the reusable container with a product type different to that

originally held in the container; the user or consumer may attempt to fill the container beyond its capacity; the user or consumer may not correctly position the container in the filling point of the dispensing machine prior to commencing the filling process.

By "dispensing machine" in the present invention is meant a machine that dispenses product in response to one or more selections that are input into the machine by the consumer or user. Such a system may be operated by coin, token, card, or other suitable means.

A further problem that may be encountered is that whilst the consumer or user may refill the reusable container with an identical product type, said product type may contain minor variations over the original product held in the reusable container, for example, with respect to fragrance, colour, etc. In such a situation, it is beneficial to both the retailer and the consumer or user that the reusable container comprises functionality that allows the product characteristics at any given time, as well as the product history over time as the bottle undergoes a series of refill cycles, to be monitored and recorded. Thus, this data will become different for individual reusable containers as time progresses, in spite of said containers originally containing the same product.

#### SUMMARY OF THE INVENTION

According to the present invention there is provided a reusable container, which comprises therein one or more electronically and/or optically readable identification means.

According to the present invention, there is further provided a process for the monitoring and recordal of product information during the filling or refilling of a reusable container, which comprises reading an electronic

and/or optical identification means on said reusable container and storing the information obtained therefrom in a storage means that is capable of being interrogated to extract and optionally process said information.

5 Identification means in the form of, for example, electronic tags allow a reader or scanner to read data from the tag and/or write data to the tag. The reusable container then essentially becomes "intelligent".

10 By "intelligent" in the present invention is meant that the electronic tag may allow, for example, collection of specific consumer data, such as buying frequency, quantity, product type, product customisation characteristics; direct billing of the consumer instead of using coins, notes/bills, or a credit or debit card at  
15 the filling point of the dispensing machine or at the check-out of the retail store; simplification of the dispensing machine by identifying the size of the reusable container being used and signalling that the reusable container is correctly positioned; refilling  
20 control measures by only allowing dispensing of the correct product type from the dispensing machine into the reusable container; identification of the consumer or user in order to provide a personalised greeting and to offer the choice of repeating previous customisation  
25 preferences; better asset management for the retailer, that is to say, the tag may monitor the reusable container's product history, its use record, etc; management of the supply chain, that is to say, optimising supply chain responsiveness.

30 Said identification means may be of any kind commonly used in the art. In a preferred embodiment, said identification means may be of one or more types selected from resistance tagging, optical tagging and transponder

tagging, depending upon the requirements for the monitoring and recording of information.

Resistance tagging is a method wherein the reusable container may be identified by a dispensing machine through electronic resistance. A circuit, which is moulded into the reusable container during manufacture, has a specific electrical resistance and therefore may identify the size and intended use of the reusable container. The dispensing machine is programmed to function only when it detects the specific band of electronic resistance that is acceptable.

Optical tagging is a method wherein a series of slots are moulded into the reusable container. Said slots are detected by an optical reader. The slots are moulded in such a way as to provide identification of the reusable container by the dispensing machine and thus to ensure that only the correct product type may be refilled into the container.

Transponder tagging is a method wherein a transponder chip is attached to the reusable container. Said chip may be read by the dispensing machine, thereby preventing the cross-contamination caused by refilling the reusable container with the incorrect product type.

The storage means for information in the present invention, may be at a location remote from the container or in a location on the container.

The design of the reusable container may include provision for ease of handling in use, such as and by way of example only, handles integral to the container design or as an additional component or components; flexible members or components such as straps, webbing, collars; additional grip points around the neck or base to allow the user access to multiple handling points; methods such as straps or clips, whereby the container may be "worn"



by the user or hung from convenient location points to enable the container to be used on the move or in locations where there are no appropriate surfaces for storage.

5       The design of the reusable container may be of any scale or size that is relevant to the product or the product use.

10       In a preferred embodiment of the present invention, at least a portion of the reusable container will be of a collapsible nature.

By "collapsible" in the present invention, is meant any action that results in a reduction in size and volume of the reusable container.

15       The collapsible nature of the reusable container improves its portability when empty.

By "filling point" in the present invention, is meant the region of the dispensing machine wherein the reusable container is placed in order for filling or refilling to occur.

20       The reusable container may be made from any material, and in any shape or configuration.

The reusable container may be manufactured by employing any technique commonly used in the art.

25       In one embodiment of the present invention, said reusable container may be manufactured from a plastic material. As such, said reusable container may be manufactured by any conventional forming process.

30       Suitable plastics materials include any appropriate to the requirements of the product including, by way of example, PET, PP, PE, HDPE, PVC and barrier laminates such as PET/NYLON/PET and PP/BAREX/PP etc. (BAREX is a trade mark of BP Amoco plc).

In a preferred embodiment of the present invention, said reusable container may be manufactured by an extrusion or injection blow-moulding process.

5 The reusable container generally has a bottom wall, peripheral side walls, and an upper portion comprising one or more dispensing points. Said peripheral side walls are preferably of a collapsible nature. The terms "bottom", "side" and "upper" are used to identify the positions of those portions of the container when it is  
10 in its normal orientation in use.

It will be appreciated by one skilled in the art, that for convenience said bottom wall and/or upper portion may also be of a collapsible nature.

15 The upper portion may be an integral part of the reusable container, or it may be securely sealed to the peripheral side walls by any technique commonly used in the art. Suitable techniques include heat sealing, ultrasonic sealing and the use of adhesives.

20 In a preferred embodiment, the upper portion may contain a positioning guide to aid filling in the filling point of the dispensing machine. Examples of suitable positioning guides include grooves, shoulders etc.

The reusable container may include any device or mechanism for refilling.

25 The collapsible portion of the reusable container may include any means of collapsing its structure including powered means through the action of a mechanism or motor and methods utilising a stored reservoir of energy such as, and by way of example, an elastic membrane, a spring,  
30 or a battery, from an external or internal source.

The reusable container may be collapsed manually by the consumer or user.

It will be appreciated by one skilled in the art, that the collapsible nature of said reusable container is not limited in the present invention.

5 The collapsible portion of the reusable container may comprise pleated, fluted or folded peripheral side walls that improve the degree to which the container may be made to collapse by providing a controlled means of collapse.

10 For example, said side walls may comprise vertically spaced steps or shoulders, which facilitate axial collapse and subsequent concentric folding of one or more portions of the side wall to provide a collapsed reusable container with at least two "layers" of side walls. Said side walls may be formed to give smooth, tapered or even  
15 straight peripheral side walls.

Alternative methods include those, wherein the reusable container comprises peripheral collapsible side walls in the form of a longitudinal compressible helical thread.

20 In one embodiment, the collapsible portion of the reusable container may comprise a collapsible bag or sachet.

In a further embodiment, the collapsible portion of the reusable container may comprise an expandable  
25 elastomeric material. Said expandable elastomeric material will automatically distend upon filling, and will be impermeable to the product contained therein. In a preferred embodiment, said expandable elastomeric material will contract upon dispense of the product  
30 therein. Thus, it is possible to avoid the necessity for machine or manual collapse of the reusable container after use. The expandable elastomeric material may be housed in a flexibility limiting support to restrict the

amount of distension possible, for example by using an outer netting bag.

It is within the skill of the person skilled in the art to select a suitable elastomeric material according to their precise requirements.

In a preferred embodiment, the reusable container comprises a rigid upper portion. Said upper portion may conveniently comprise means for refilling and/or dispensing of product contained within said reusable container. Said upper portion may conveniently comprise means for transport of the reusable collapsible inner container, of any nature commonly used in the art.

In a preferred embodiment of the present invention, the reusable container may comprise one or more fill apertures and one or more dispense apertures, said fill apertures being remotely positioned on the container in relation to the dispense apertures.

By "remote" in the present invention, is meant at a location independent of the dispense aperture.

It will be appreciated that within the scope of the present invention, "remote" is also intended to encompass a situation wherein the fill aperture is located in the closure of the dispense aperture, that is to say, whilst the fill aperture shares a common path to the interior of the reusable container, the dispense aperture cannot be considered identical to that of the fill aperture.

The reusable container may comprise any number, combination and orientation of fill and/or dispense apertures that meet the needs of the product, its intended use or its method of use.

The reusable container may provide any means to communicate to the user the identity of the product it contains, and information about that product's state by

means of, and by way of example, a transparent window, a gauge or a valve point for sampling.

Reusable containers according to the present invention may be conveniently used to store and transport  
5 a wide variety of materials such as foodstuffs, beverages, household products such as detergents, and automotive products.

#### BRIEF DESCRIPTION OF THE DRAWING

The present invention will now be described by way of  
10 example by reference to the single figure of the accompanying drawing, which is a fragmentary vertical cross-sectional view through a reusable container comprising an optical tag and in which also shown is the optical reader of a dispensing machine.

#### DETAILED DESCRIPTION OF THE INVENTION

Referring to the Figure, there is shown a reusable container 1 comprising a collapsible bag 2 (partly shown) attached to a rigid upper portion 3 and having side walls  
4.

20 Said upper portion 3 comprises a positioning guide 5, which is so designed to cooperate with suitable means in a dispensing apparatus so as to ensure that it is correctly positioned in said apparatus whilst being filled with product at the point of dispense (not shown).

25 Said upper portion 3 further comprises a dispense aperture in the form of a spout 6 with a drip trap 7, and covered by a double-walled lid 8.

Said upper portion 3 further comprises a remote fill aperture 9 in the form of a reed valve.

30 The reusable container 1 comprises a flexible carrying handle 10. The upper portion 3 is attached to the collapsible bag 2 by an ultrasonic seal 11.

The upper portion 3 comprises a fin 12 with moulded grooves 13, which form an optical identification means

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that may be read by an optical reader 14 located in the filling point of a dispensing machine (not shown) in order to identify the reusable container and its contents.

C L A I M S

1. A process for the monitoring and recordal of product information during the filling or refilling of a reusable container, which comprises reading an electronic and/or optical identification means on said reusable container and storing the information obtained therefrom in a storage means that is capable of being interrogated to extract and optionally process said information.
2. A reusable container, for use in the process of Claim 1 which comprises therein one or more electronically and/or optically readable identification means.
3. A reusable container, according to Claim 2, wherein the reusable container of which at least a portion thereof is of a collapsible nature.
4. A reusable container according to Claim 3, wherein said collapsible portion comprises a collapsible bag or sachet.
5. A reusable container according to Claim 3 or 4, wherein said collapsible portion comprises pleated, fluted or folded peripheral side walls.
6. A reusable container according to Claim 2, 3, 4 or 5, wherein said collapsible portion comprises an expandable elastomeric material.
7. A reusable container according to any one of Claims 2 to 6, wherein said collapsible portion comprises a rigid upper portion.
8. A reusable container according to any one of Claims 2 to 7, wherein the reusable container comprises one or more fill apertures and one or more dispense apertures, said fill apertures being remotely positioned on the container in relation to the dispense apertures.

9. A reusable container according to any one of Claims 2 to 8, wherein the identification means is one or more types selected from resistance tagging, optical tagging and transponder tagging.

- 5 10. Use of one or more electronic and/or optical identification means for the monitoring and recordal of product information during the refilling of a reusable container.



TS 9244

A B S T R A C TCONTAINER AND PROCESS FOR MONITORING AND  
RECORDAL OF PRODUCT INFORMATION

5 The present invention provides a process for the  
monitoring and recordal of product information during the  
filling or refilling of a reusable container, which  
comprises reading an electronic and/or optical  
identification means on said reusable container and  
storing the information obtained therefrom in a storage  
means that is capable of being interrogated to extract  
and optionally process said information; and

10 a reusable container (1), for use in the process of  
the present invention which comprises therein one or more  
electronically and/or optically readable identification  
means (12) and (13).

- 1/1

FIGURE

